



# Predicting Cerebral Aneurysm Rupture by Gradient Boosting Decision Tree using Clinical, Hemodynamic, and Morphological Information

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## Abstract

Stroke is a serious cerebrovascular condition in which brain cells die due to an abrupt blockage of arteries supplying blood and oxygen or when a blood vessel bursts or ruptures and causes bleeding in the brain. Because the onset of stroke is very sudden in most people, prevention is often difficult. In Japan, stroke is one of the major causes of death and is associated with high medical costs; these problems are exacerbated by the aging population. Therefore, stroke prediction and treatment are important. The incidence of stroke may be avoided by preventive treatment based on the patient's risk of stroke. However, since judging the risk of stroke onset is largely dependent upon the individual experience and skill of the doctor, a highly accurate prediction method that is independent of the doctor's experience and skills is necessary. This study focuses on a predictive method for subarachnoid hemorrhage, which is a type of stroke. LightGBM was used to predict the rupture of cerebral aneurysms using a machine learning model that takes clinical, hemodynamic and morphological information into account. This model was used to analyze samples from 338 cerebral aneurysm cases (35 ruptured, 303 unruptured). Simulation of cerebral blood-flow was used to calculate the hemodynamic features while the surface curvature was extracted from the 3D blood-vessel-shape data as morphological features. This model yielded a sensitivity of 0.77 and a specificity of 0.83.

## 1 Introduction

Stroke is a generic term that encompasses cerebral infarction, cerebral hemorrhage, and subarachnoid hemorrhage and occurs when brain cells die due to an abrupt blockage of arteries that supply blood and oxygen to the brain or bleeding in the brain tissue when a blood vessel

bursts. For many people, stroke may occur suddenly and without warning; thus, it is difficult to prevent. In 2018, stroke became the country's fourth leading cause of death due to illness and the number one cause of being bedridden in Japan. Therefore, early prediction and treatment options for stroke patients are crucial. Reducing the incidence of stroke requires a preventive strategy that lowers the risk of stroke. Unfortunately, evaluating the risk of stroke largely depends on the individual judgment and expertise of the doctor. Therefore, a highly accurate method for predicting stroke risk that is independent of the doctor's experience and judgment is required.

Existing stroke-prediction models [11], [12] have incorporated features that are clinically verified or have been manually selected by medical experts. [8], [10] and [20] used data from the patient's medical history as input features in their research. Meanwhile, Amini et al. [2] used the k-nearest neighbor's algorithm [1] and the C4.5 decision tree method [16] for predicting stroke onset from the patient's medical history data. Moreover, some studies have started employing vascular imaging for predicting disease onset. For example, Nogueira et al. [14] employed vascular imaging to predict clinical outcomes and investigated the risk of symptomatic intracerebral hemorrhage among patients who underwent intravenous thrombolytic treatment. On the other hand, Bentley et al. [3] used computerized tomography brain-image inputs into a support vector machine (SVM) algorithm [7] to predict stroke.

There are several other reports wherein the state of cerebral blood flow, in addition to the patient's medical information, was deeply involved with the stroke onset [5]. Morino et al. [13] used particle image velocimetry (PIV) and laser doppler velocimetry (LDV) to measure the velocity profiles of ruptured and unruptured intra-aneurysmal hemodynamics. Xiang et al. [21] examined how an inlet waveform affects the predicted hemodynamics in patient-specific aneurysm geometries. Furthermore, several groups acknowledged the importance of wall shear stress (WSS), energy loss (EL), and pressure loss coefficient (PLC) in predicting the rupture of cerebral aneurysms [15], [17], [19].

Among these studies, very few have considered combining data from various technological sources to successfully predict the onset of stroke. In this regard, our previous study combined clinical information, hemodynamic information, and morphological information into a classification model for enhanced prediction of stroke [18]. Moreover, Suzuki et al. [18] aimed to develop a highly precise stroke-onset prediction method using machine learning. Specifically, they developed a machine learning model that would predict whether a cerebral aneurysm would rupture and cause subsequent subarachnoid hemorrhage using clinical information, hemodynamic information obtained by computational fluid dynamics (CFD) simulation data of cerebral blood flow, and morphological information obtained from the 3D blood-vessel-shape data as inputs. Using logistic regression as a classification model, Suzuki et al. [18] found that this model yielded a sensitivity of 0.64 and a specificity of 0.85.

In this paper, LightGBM [9], which is a gradient-boosting algorithm based on the decision tree model, is used as a classifier. In this classifier, the time-series data obtained from the CFD simulation of cerebral blood flow were additionally considered as hemodynamic features. Additionally, the surface curvature data showing the cerebral aneurysm that was obtained from the 3D blood vessel shape data were considered as morphological features.

In this manuscript, we describe the data required to build the proposed classification model (Section 2), the process of building the classifier (Section 3), and the results of the numerical experiments as well as the implications of these results (Section 4). We conclude the paper in Section 5.

## 2 Dataset

Out of the 6,470 total cases that were previously registered in the Jikei University database, we first extracted cases based on the location of the occurrence of the aneurysm. If the case was unruptured, we then extracted the cases that are being observed and have not been treated in the past. If the case was ruptured, we then extracted the cases that ruptured during follow-up visits. In addition, we used morphological classification to restrict the cases to those in which the length, width, and neck of the bulge are each  $< 10$  mm but at least one of these measurements was  $> 3$  mm. Furthermore, we restricted the unruptured cases to those in which the follow-up period<sup>1</sup> was over two years and analyzed all consecutive cases. In the end, 338 cases were selected for this study. Clinical, hemodynamic, and morphological information was extracted from the 338 cases, which included 303 unruptured and 35 ruptured samples.

### 2.1 Clinical information

The following clinical information was obtained for each case: patient age; gender; the location of the aneurysm; a patient history of subarachnoid hemorrhage (SAH); a history of smoking; diabetes mellitus (DM); hypertension (HT); hyperlipidemia; alcohol consumption; polycystic kidneys (PK); cerebral hemorrhage (CH); hormone replacement (HR); the date of last consultation (discretized in units of three months and in units of ten days.); family history of SAH (FH\_SAH); family history of unruptured aneurysms (FH\_Unruptured Aneurysm); and a family history of PK (FH\_PK). A total of 32 features were collected from the patients' medical history.

### 2.2 Hemodynamic Information

Hemodynamic information was obtained through the CFD simulation of the cerebral blood flow. CFD is a branch of fluid mechanics that employs numerical analyses to solve problems involving fluid dynamics. The simulation identified physical blood-flow characteristics such as PLC, EL, energy loss per unit volume (ELV), inflow concentration index (ICI), WSS, oscillatory shear index (OSI), low shear-stress area percentage (LSA), low shear index (LSI), and shear concentration index (SCI). While our previous study [18] used only the maximum, minimum, amplitude, and average of these quantities, the maximum value : minimum value ratios of the PLC, EL, ELV, ICI, LSA, LSI, and SCI were also used in this paper. Among these characteristics, PLC, EL and WSS were reported as being helpful for predicting whether a cerebral aneurysm would rupture [15], [17], [19]. In addition, we extracted time-series features of cerebral blood flow velocity, pressure, shear force, and WSS from the CFD simulation data. The length of the time-series data of velocity, pressure, shear force, and WSS obtained from the CFD simulation was 0.80 seconds while the sampling interval was 0.05 seconds. From the inside of the cerebral aneurysm, the positions where the value of each physical quantity took the maximum value during 0.8 seconds and the positions where the variance of the values of each physical quantity took the maximum value during 0.8 seconds (eight positions in total) were found. Next, the rates of change during time window of 0.05 seconds for each physical quantity at those positions were used for time-series features for the machine learning model. A total of 181 features were collected from the blood-flow-simulation data.

The calculation conditions are summarized below. A prototype CFD solver (Siemens Healthcare GmbH, Forchheim, Germany, "Not to be used for Diagnosis and/or Therapy"), which utilizes the Lattice Boltzmann method [4], was used for this method. With regards to the physical

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<sup>1</sup>The follow-up period is defined as the time between the initial consultation and the final consultation.

properties of blood, the fixed density and viscosity values were set and non-Newtonian fluids were disregarded. After considering the laminar flow field, the two pulses were calculated using the pulse conditions and only the results obtained from the second pulse were used. The outlet boundary condition was set to an average static pressure of 0 Pa, and the calculations were established in a structured computational grid with a maximum size of 0.1 mm. Further details are described in previously published studies [15],[19].

### 2.3 Morphological Information

The morphological information of cerebral aneurysm that was obtained includes the maximum aneurysm height, maximum neck diameter, neck area, volume, aspect ratio, sidewall or bifurcation type, and the presence or absence of a bleb. To extract additional features from the 3D blood vessel shape data, which was stored in the stereolithography (STL) format, this study estimated curvatures on the surface of the vessel and used these characteristics as features. This method yielded the following four characteristics related to surface curvature: mean curvature, Gaussian curvature, root mean square (RMS) curvature, and absolute curvature. MeshLab [6] was used to read and analyze the STL files of blood-vessel-shape and obtain the surface curvatures. We used the histogram of each of four surface curvature as morphological features. A total of 257 features were collected from the morphological data.

## 3 Classification Model for Cerebral Aneurysm Rupture Prediction

LightGBM, which is an open-source software library, was used as a classifier to predict whether a cerebral aneurysm would rupture. LightGBM provides a gradient-boosting decision tree framework. Gradient boosting is a type of ensemble learning where multiple models (“weak learners”) are trained to solve the same problem and combined to obtain better predictive performance. Boosting trains weak learners sequentially based on the previous weak learners. LightGBM is one of the most popular methods that is used in data analysis competitions due to its high efficiency and predictive power.

LightGBM internally produces predicted probability values ranging between 0.0 and 1.0 rather than predicted label values such as rupture or unruptured. Therefore, we need to set a probability threshold to label the outcome to be ruptured or unruptured. To determine the threshold value, the harmonic mean of the sensitivity and specificity was used for the threshold evaluation. The sensitivity, which was computed using Eq. (1), represents the fraction of ruptured samples that were correctly predicted out of the total number of ruptured samples.

$$\text{Sensitivity} = \frac{\text{TruePositive}}{\text{TruePositive} + \text{FalseNegative}} \quad (1)$$

The specificity, which was computed by Eq. (2), represents the fraction of correctly-predicted unruptured samples out of the total number of unruptured samples.

$$\text{Specificity} = \frac{\text{TrueNegative}}{\text{TrueNegative} + \text{FalsePositive}} \quad (2)$$

A threshold value that maximizes the harmonic mean of sensitivity and specificity was regarded as the optimal threshold value ( $T_{\text{opt}}$ ). We calculated the harmonic mean,  $H$ , using the following

equation:

$$H = \frac{2 \cdot \text{Sensitivity} \cdot \text{Specificity}}{\text{Sensitivity} + \text{Specificity}} \quad (3)$$

To improve the sensitivity of the classifier, fine-tuning was performed by multiplying the obtained optimum threshold,  $T_{\text{opt}}$ , by 0.9 using the equation below.

$$T_{\text{opt}}^* = 0.9 \cdot T_{\text{opt}} \quad (4)$$

Other hyperparameters were tuned manually.

## 4 Results and Discussion

### 4.1 Hyperparameter Tuning

The result of the hyperparameter tuning are organized in Table 1. The default values were used for the other hyperparameters.

Hyperparameter	Selected value
objective	binary
n_estimators	10
learning_rate	0.02
max_depth	6

Table 1: Hyperparameters selected

### 4.2 Predicting Cerebral Aneurysm Rupture

The classification model was evaluated by its sensitivity, specificity, and F-measure. The F-measure is the harmonic mean of precision and sensitivity and it was computed using Eq. (6).

$$\text{F-measure} = \frac{2 \cdot \text{TruePositive}}{2 \cdot \text{TruePositive} + \text{FalsePositive} + \text{FalseNegative}} \quad (5)$$

Stratified tenfold cross-validation was used to test the performance of the classification model. Table 2 shows the confusion matrix and Table 3 summarizes the performance measures resulting from the classification of the test data. By using a gradient-boosting decision tree framework and newly added features, the sensitivity of the model was greatly improved. Therefore, the classification was more stable compared to our previous study, which yielded a sensitivity of 0.64 and specificity of 0.85 [18].

N=338		Actual class	
		Rupture	Unrupture
Predicted class	Rupture	27	53
	Unrupture	8	250

Table 2: Confusion matrix

Performance measure	Value
Sensitivity	0.77
Specificity	0.83
F-measure	0.47

Table 3: Performance measures resulting from the classification

## 5 Conclusions

A classifier incorporating clinical, hemodynamic and morphological data was constructed using machine learning and used to predict cerebral aneurysm rupture in a total of 338 cerebral aneurysm data samples (35 ruptured, 303 unruptured). Using LightGBM as a classification model, we created a model with a sensitivity of 0.77 and a specificity of 0.83 that predicted cerebral aneurysm rupture using data from three different sources. Future studies will include evaluating the contribution of each parameter to the prediction performance and systematically executing the tuning of hyperparameters.

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